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600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701-3271
WWW.FULBRIGHT.COM

MKRAWZSENEK@FULBRIGHT.COM DIRECT DIAL: (512) 536-3020 TELEPHONE: FACSIMILE: (512) 474-520I (512) 536-4598

April 2, 2004

CERTIFICATE OF MAILING 37 C.F.R 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Attn.: Zeman, Robert A., Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

April 2, 2004

Date

Michael R. Krawzsenek

Attn.: Zeman, Robert A. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 09/941,296 entitled "FORMULATION OF ADENOVIRUS

FOR GENE THERAPY" - Zheng Wu and Shuyan Zhang

Our reference: INRP:067USD1

Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, Form PTO-1449, and references A5-A14, B13-B23,C30-C71 and C73-C125.

No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/INRP:067USD1.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Michael R. Krawzsenek

Reg. No. 51,898

MRK/kmv Encl.: as noted





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zheng Wu Shuyuan Zhang

Serial No.: 09/941,296

Filed: August 28, 2001

For: FORMULATION OF ADENOVIRUS FOR

GENE THERAPY

Group Art Unit: 1645

Examiner: Zeman, Robert A.

Atty. Dkt. No.: INRP:067USD1

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April 2, 2004

Date

Michael R. Krawzsenek

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Attn.: Zeman, Robert A. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. We were unable to obtain a copy of reference C72, Kotani, "Serum-free production of adenoviral vectors for gene therapy," Williamsburg BioProcessing Conference, Nov. 18-21, 1996. However, this reference remains on the Form PTO-1449 out of an abundance of caution.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure

Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to

patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information Disclosure Statement is being filed prior to the

receipt of a first Official Action reflecting an examination on the merits, and hence is believed to

be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in

connection with the filing of this Supplemental Information Disclosure Statement, however,

should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright &

Jaworski Deposit Account No.: 50-1212/INRP:067USD1.

This application is a divisional application of Serial No. 09/441,410, filed November 16,

1999 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule

37 C.F.R. § 1.98(d) only copies of those documents not previously cited and submitted to the

Patent and Trademark Office in prior application Serial No. 09/441,410 are enclosed for the

convenience of the Examiner.

Applicants respectfully request that the listed documents be made of record in the present

case.

Respectfully submitted,

Michael R. Krawzsenek

Reg. No. 51,898

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

April 2, 2004

PTO-1449 (modified)

Atty. Docket No. INRP:067USD1

Serial No. 09/441,410

of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT

Applicant Zheng Wu

Shuyuan Zhang Filing Date:

August 28, 2001

Group: 1645

(Use several sheets if necessary)

U.S. Patent Documents See Page 1

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A5	4,352,883	10/05/82	Lim	435	178	3/28/79
	A6	4,725,547	2/16/88	Sakamoto et al.	435	239	8/9/85
	A7	5,607,851	3/4/97	Pellegrini et al.	435	236	7/18/94
	A8	5,744,304	4/28/98	Munford	435	6	5/30/95
	A9	5,837,520	11/17/98	Shabram et al.	435	239	3/07/95
	A10	6,143,290	11/07/00	Zhang et al.	424	93.2	4/07/94
	A11	6,194,210	2/27/01	Leu and Seifert	435	403	4/17/96
	A12	6,485,958	11/26/02	Blanche et al.	435	239	12/16/98
	A13	6,537,793	3/25/03	Blanche et al.	435	239	6/14/01
	A14	6,586,226	7/1/03	Carrion et al.	435	239	11/30/01

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	B13	4-9338	1/14/92	Japan			ABSTRACT
	B14	EP 0475623	3/18/92	Europe			
	B15	WO 93/25224	12/23/93	PCT			
	B16	WO 94/06910	3/31/94	PCT			
·	B17	WO 95/25789	9/28/95	PCT			
	B18	WO 96/27677	09/12/96	PCT			
	B19	WO 97/04803	2/13/97	PCT			
	B20	WO 97/08298	3/06/97	PCT			
	B21	WO 98/00524	1/08/98	PCT			
	B22	WO 98/26048	6/18/98	PCT			

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	B23	WO 99/54441	10/28/99	PCT			

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	C34	Bett, "An efficient and flexible system for construction of adenovirus vectors with insertions or deletions in early regions 1 and 3," <i>Proc. Natl. Acad. Sci. USA</i> , 91(19):8802-8806, 1994.
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	C37	Casey et al., "Growth suppression of human breast cancer cells by the introduction of a wild-type p53 gene," Oncogene, 6:1791-1797, 1991.
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	C41	Coffin, "Retroviridae and their replication," <i>In: Virology</i> , Fields <i>et al.</i> (eds.), New York: Raven Press, pp. 1437-1500, 1990.
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Serial No. 09/441,410

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Zheng Wu Shuyuan Zhang

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	C60	Graham, "Growth of 293 Cells in Suspension Culture," J. Gen. Virol., 68:937-940, 1987.
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	C65	Hearing et al., "Identification of a repeated sequence element required for efficient encapsidation of the adenovirus type 5 chromosome," J. Virol., 61:2555-2558, 1987.
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	C68	Jones and Shenk, "Isolation of deletion and substitution mutants of adenovirus type 5," <i>Cell</i> , 13:181-188, 1978.

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Applicant

Zheng Wu

INFORMATION DISCLOSURE STATEMENT Shuyuan Zhang

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	C76	Levrero et al., "Defective and nondefective adenovirus vectors for expressing foreign genes in vitro and in vivo," Gene, 101:195-202, 1991.
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	C80	McGrath et al., "Retrovirus purification: method that conserves envelope glycoprotein and maximizes infectivity," J. Virol., 25:923-927, 1978.
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	C92	Odin and Obrink, "Quantitative determination of the organ distribution of the cell adhesion molecule cell-CAM 105 by radioimmunoassay," <i>Exp. Cell Res.</i> , 171:1-15, 1987.
	C93	Okamoto <i>et al.</i> , "Mutations and altered expression of p16 ^{INK4} in human cancer," <i>Proc. Nat'l Acad. Sci. USA</i> , 91:11045-11049, 1994.
	C94	Orlow et al., "Chromosome 9 allelic losses and microsatellite alterations in human bladder tumors," Cancer Res., 54:2848-2851, 1994.
	C95	Paskind <i>et al.</i> , "Dependence of moloney murine leukemia virus production on cell growth," <i>Virology</i> , 67:242-248, 1975.
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o 5 100Fogin PTO-1449 (modified) Atty. Docket No. Serial No. INRP:067USD1 09/441,410 of Patents and Publications for Applicant's **Applicant** Zheng Wu Shuyuan Zhang INFORMATION DISCLOSURE STATEMENT Filing Date: Group: (Use several sheets if necessary) 1645 August 28, 2001 Other Art **U.S. Patent Documents Foreign Patent Documents** See Page 1 See Page 1 See Page 1

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	C98	Petricciani, "Should continuous cell lines be used as substrates for biological products?" <i>Dev. Biol. Standard</i> , 66:3-12, 1985.
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,	C102	Renan, "Cancer genes: current status, future prospects, and applications in radiotherapy/oncology," <i>Radiother. Oncol.</i> , 19:197-218, 1990.
	C103	Ridgeway, "Mammalian expression vectors," <i>In:</i> Rodriguez RL, Denhardt DT, ed. Vectors: A survey of molecular cloning vectors and their uses. Stoneham: Butterworth, pp. 467-492, 1988.
	C104	Rippe et al., "DNA-mediated gene transfer into adult rat hepatocytes in primary culture," Mol. Cell Biol., 10:689-695, 1990.
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	C106	Serrano <i>et al.</i> , "Inhibition of ras-induced proliferation and cellular transformation by p16 ^{INK} 4," <i>Science</i> , 267:249-252, 1995.
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	C111	Trepanier et al., Journal of Virological Methods, 3:201-211, 1981.
	C112	Tibbetts, "Viral DNA sequences from incomplete particles of human adenovirus type 7," <i>Cell</i> , 12:243-249, 1977.
	C113	Tur-Kaspa <i>et al.</i> , "Use of electroporation to introduce biologically active foreign genes into primary rat hepatocytes," <i>Mol. Cell Biol.</i> , 6:716-718, 1986.
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	C116	Wagner et al., "Transferrin-polycation conjugates as carriers for DNA uptake into cells", Proc. Nat'l. Acad. Sci., 87(9):3410-3414, 1990.
	C117	Wagner <i>et al.</i> , "Antisense gene inhibition by oligonucleotides containing C-5 propyne pyrimidines," <i>Science</i> , 260:1510-1513, 1990.
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-	C119	Wang et al., "Modified CelliGen-packed bed bioreactors for hybridoma cell cultures," Cytotechnol., 9:41-49, 1992.
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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CIT.	ATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH			

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Page 9 of 9 Serial No. Atty. Docket No. PTO-1449 (modified) INRP:067USD1 09/441,410 Patents and Publications for Applicant's **Applicant** Zheng Wu INFORMATION DISCLOSURE STATEMENT Shuyuan Zhang Filing Date: Group: (Use several sheets if necessary) 1645 August 28, 2001 Other Art **U.S. Patent Documents Foreign Patent Documents** See Page 1 See Page 1 See Page 1

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	C122	Wong et al., "Appearance of b-lactamase activity in animal cells upon liposome mediated gene transfer," Gene, 10:87-94, 1980.			
	C123	Wu and Wu, "Evidence for targeted gene delivery to HepG2 hepatoma cells in vitro," Biochemistry, 27:887-892, 1988.			
	C124	Wu and Wu, "Receptor mediated <i>in vitro</i> gene transfections by a soluble DNA courier system," <i>J. Biol. Chem</i> , 262:4429-4432, 1987.			
	C125	Yang et al., "In vivo and in vitro gene transfer to mammalian somatic cells by particle bombardment," Proc. Nat'l Acad. Sci. USA, 87:9568-9572, 1990.			

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